

STATE UNIVERSITY OF NEW YORK
AT ALBANY

OFFICE OF THE PRESIDENT

ALBANY, N.Y. 12222

April 7, 1983

MEMORANDUM

TO: H. Peter Krosby, Chairman
University Senate

SUBJ: Senate Bill No. 8283-08

In response to your memorandum of December 7, 1982, I am writing to accept Senate Bill No. 8283-08, Animal Welfare Policy. Please note that I have added a new section, now numbered Section III-B for the purpose of clarifying the interpretation of the bill. The sections previously lettered B & C have been re-lettered as C & D respectively. I made this addition after consulting with the Vice Presidents and I regard it as a purely technical change designed to improve the bill's implementation. I do not believe this needs to go back to the Senate but you have the right to inform the Senate if you wish.

The offices of Academic Affairs and Research will be responsible for arranging for its publication and for bringing the policy to the attention of appropriate staff.

Vincent O'Leary

Attachment

cc: Vice President Ramaley
Vice President Shumaker

UNIVERSITY SENATE
STATE UNIVERSITY OF NEW YORK AT ALBANY

University Policies for Scientific and Educational
Activities Involving Animal Subjects

INTRODUCED BY: Council on Research
December 6, 1982

IT IS HEREBY PROPOSED THAT THE FOLLOWING BE ADOPTED:

-
- I. That the attached policies for scientific and educational activities involving animal subjects be approved.
 - II. That this resolution be referred to the President for his approval.

Attachment

Approved by Senate 12/6/82

Rationale:

The use of animal subjects in scientific and educational activities is governed by federal and state regulations as well as professional standards of ethical conduct. In light of the soon to be adopted state regulations governing the use of animal subjects and the possibility of new federal regulations in this area, it is necessary that the University adopt policies and procedures regarding scientific and educational activities involving animal subjects so that we can remain in compliance. The primary issue is the review and approval of procedures using animal subjects, including educational uses. Under both set of regulations the use of live animals in scientific or educational activities will have to be reviewed by an animal care committee. The campus has had an Animal Welfare Committee for some time, but its scope has been limited to inspecting facilities and reviewing federally funded research.

The attached policy would vest the responsibility for approving procedures for the care and use of animals with the Animal Welfare Committee. However, certain categories of activities involving minimal pain or suffering to subjects will not have to be reviewed by the Committee, but may be approved by department chairpersons. These procedures should allow the University to fulfil its responsibility without unduly interfering with the scientific and educational activities on campus.

Policies:

UNIVERSITY POLICIES FOR SCIENTIFIC AND EDUCATIONAL
ACTIVITIES INVOLVING ANIMAL SUBJECTS

- I. The State University of New York at Albany is committed to the humane care and use of live animals in scientific or educational activities conducted under its auspices. The University is also committed to compliance with all state and federal laws and regulations regarding animal welfare.
 - A) In compliance with state and federal regulations, these policies specifically apply to any living mammal or bird, although humane care and use of all non-human species is expected.
 - B) The University shall be guided by the Guide for the Care and Use of Laboratory Animals (NIH) in its most recent edition.
- II. In order for the University to fulfil its responsibility for insuring the humane care and use of animals, the University has established an Animal Welfare Committee.
 - A) The members of the Animal Welfare Committee shall be appointed by the Vice President for Research in consultation with the Council on Research.
 - B) The Committee shall be comprised of members who collectively possess sufficient expertise to assess the appropriateness of animal use in education and research. At least one member shall be a veterinarian and at least one member shall be unaffiliated with the University.
- III. No live animals covered under these policies shall be used in any scientific or educational activity under the auspices of the University without appropriate review and approval.
 - A) The Animal Welfare Committee is authorized to review and approve the use of live animals in all scientific and educational activities conducted under the auspices of the University, regardless of the source of funding. This includes the use of animals by students or faculty in laboratories and classes.
 - B) Approval may be obtained for an entire project, consisting of a set of procedures to be used over an extended period of time. Prior approval must be obtained for any changes in the procedures that will significantly affect the use of animal subjects. At appropriate intervals, at least yearly, the committee will review the status of extended projects.
 - C) Certain categories of activities involving minimal pain or suffering to subjects need not be reviewed and approved by the full Committee, but may be eligible for less intensive review procedures.
 - 1) The following activities may be approved by the chairperson of the department in which the activity is taking place:

- a) Activities which are expected to cause only negligible pain or suffering; and
 - b) Activities which are carried out on animals which will be sacrificed while under anesthesia.
- 2) The following activities may be approved by the chairperson of the department, provided that a veterinarian has been consulted and concurs:
- a) Activities involving painful stimulation of unanesthetized animals which cause no more than slight, short-lasting pain or suffering; and
 - b) Operations which are carried out under anesthesia or some other pain-killer in which the animal will recover or experience the cessation of the action of the pain-killer.
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- 3) Procedures and criteria for making the above judgements will be developed by the Committee in consultation with the relevant department chairpersons.
- 4) When a department chairperson approves an activity, a copy of the information on which the decision was based must be forwarded to the Committee.
- 5) If the activity to be approved is being conducted by the chairperson of the department or by his/her student(s), the review and approval shall be done by the chairperson of the Animal Welfare Committee.
- 6) At timely intervals, the Committee will review those projects approved by the above procedures and, if it overrules the chairperson's decision, may terminate the activity. Department chairpersons may, at any time refer an activity to the Committee for review.
- D) Activities not eligible for the less intensive review procedures described in III(C) shall be reviewed and approved by the Animal Welfare Committee.
- IV. The Council on Research shall maintain oversight of the Animal Welfare Committee.
- A) At timely intervals, the Council shall review the operation of the Committee and shall report to the University Senate the results of that review.
 - B) When necessary, the Council shall recommend to the Committee appropriate changes in the review procedures within the constraints imposed by law and regulation.
- V. The Committee shall inspect all of the University's animal facilities at least annually and submit reports on the conditions of animal care to the responsible department chairpersons, the Vice President for Research and any governmental agency requiring such reports.
- VI. The Committee, with approval of the Council on Research, shall adopt appropriate procedures to implement these policies.

*See Previous Bill
(Amended)*

UNIVERSITY SENATE
STATE UNIVERSITY OF NEW YORK AT ALBANY

University Policies for Scientific and Educational
Activities Involving Animal Subjects

INTRODUCED BY: Council on Research
November 22, 1982

IT IS HEREBY PROPOSED THAT THE FOLLOWING BE ADOPTED:

- I. That the attached policies for scientific and educational activities involving animal subjects be approved.
- II. That this resolution be referred to the President for his approval.

ATTACHMENT

Rationale:

The use of animal subjects in scientific and educational activities is governed by federal and state regulations as well as professional standards of ethical conduct. In light of the soon to be adopted state regulations governing the use of animal subjects and the possibility of new federal regulations in this area, it is necessary that the University adopt policies and procedures regarding scientific and educational activities involving animal subjects so that we can remain in compliance. The primary issue is the review and approval of procedures using animal subjects, including educational uses. Under both set of regulations the use of live animals in scientific or educational activities will have to be reviewed by an animal care committee. The campus has had an Animal Welfare Committee for some time, but its scope has been limited to inspecting facilities and reviewing federally funded research.

The attached policy would vest the responsibility for approving ~~procedures for the care and use of animals with the Animal Welfare Committee.~~ However, certain categories of activities involving minimal pain or suffering to subjects will not have to be reviewed by the Committee, but may be approved by department chairpersons. These procedures should allow the University to fulfil its responsibility without unduly interfering with the scientific and educational activities on campus.

Policies:

UNIVERSITY POLICIES FOR SCIENTIFIC AND EDUCATIONAL
ACTIVITIES INVOLVING ANIMAL SUBJECTS

- I. The State University of New York at Albany is committed to the humane care and use of live animals in scientific or educational activities conducted under its auspices. The University is also committed to compliance with all state and federal laws and regulations regarding animal welfare.
 - A) In compliance with state and federal regulations, these policies specifically apply to any living mammal or bird, although humane care and use of all non-human species is expected.
 - B) The University shall be guided by the Guide for the Care and Use of Laboratory Animals (NIH) in its most recent edition.
- II. In order for the University to fulfil its responsibility for insuring the humane care and use of animals, the University has established an Animal Welfare Committee.
 - A) The members of the Animal Welfare Committee shall be appointed by the Vice President for Research in consultation with the Council on Research.
 - B) The Committee shall be comprised of members who collectively possess sufficient expertise to assess the appropriateness of animal use in education and research. At least one member shall be a veterinarian and at least one member shall be unaffiliated with the University.
- III. No live animals covered under these policies shall be used in any scientific or educational activity under the auspices of the University without appropriate review and approval.
 - A) The Animal Welfare Committee is authorized to review and approve the use of live animals in all scientific and educational activities conducted under the auspices of the University, regardless of the source of funding. This includes the use of animals by students or faculty in laboratories and classes.
 - B) Certain categories of activities involving minimal pain or suffering to subjects need not be reviewed and approved by the full Committee, but may be eligible for less intensive review procedures.
 - 1) The following activities may be approved by the chairperson of the department in which the activity is taking place:
 - a) Activities which are expected to cause only negligible pain or suffering; and
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 - 3) Procedures and criteria for making the above judgements will be developed by the Committee in consultation with the relevant department chairpersons.
 - 4) When a department chairperson approves an activity, a copy of the information on which the decision was based must be forwarded to the Committee.
 - 5) If the activity to be approved is being conducted by the chairperson of the department or by his/her student(s), the review and approval shall be done by the chairperson of the Animal Welfare Committee.
 - 6) At timely intervals, the Committee will review those projects approved by the above procedures and, if it overrules the chairperson's decision, may terminate the activity. Department chairpersons may, at any time refer an activity to the Committee for review.
- C) Activities not eligible for the less intensive review procedures described in III(B) shall be reviewed and approved by the Animal Welfare Committee.
- IV. The Council on Research shall maintain oversight of the Animal Welfare Committee.
- A) At timely intervals, the Council shall review the operation of the Committee and shall report to the University Senate the results of that review.
 - B) When necessary, the Council shall recommend to the Committee appropriate changes in the review procedures within the constraints imposed by law and regulation.
- V. The Committee shall inspect all of the University's animal facilities at least annually and submit reports on the conditions of animal care to the responsible department chairpersons, the Vice President for Research and any governmental agency requiring such reports.
- VI. The Committee, with approval of the Council on Research, shall adopt appropriate procedures to implement these policies.

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*Fred
Pleasant
President
O'Leary*

State University of New York at Albany

March 23, 1983

M E M O R A N D U M

TO: President O'Leary

FROM: Judith A. Ramaley *JR*

scientific ----- After reviewing the Animal Welfare Policy, recently approved by the University Senate, I asked Jeffrey Cohen to prepare a modification to explain the scope of the term "specific and educational activities" since it could refer either to individual experiments or to entire projects. He has supplied a clarification to be inserted as section III B as follows, which I recommend to you as a necessary addition to the policy.

- B) Approval may be obtained for an entire project, consisting of a set of procedures to be used over an extended period of time. Prior approval must be obtained for any changes in the procedures that will significantly affect the use of animal subjects. At appropriate intervals, at least yearly, the committee will review the status of extended projects.

The existing section III B (which begins "Certain categories...") would be relettered as C. Section III C would become Section III D and would be rewritten as follows:

Activities not eligible for the less intensive review procedures described in III (C) shall be reviewed and approved by the Animal Welfare Committee.

With this modification, I am now content that the Policy is fair and reasonable.