

UNIVERSITY SENATE  
ATTENDANCE

Meeting of: March 1, 1993

J. F. Volkwein	A. J. L. Maronis
S. TANNENBAUM	of Petals
RJ FARRELL	Linday Childs
E. R. Sipary	Constance Walker
Scott Lyman	Audrey Chang - 92
Reed Hoyt	Carson Canfield
David Strogatz	James Yelton
Steinberger	Carl Calucci
John Pipkin	Jeanne Gullatork
Aaron Wang	JOHN LEVATI
Travene Webb	Deao Munoz
Judith Basler	James Kiepper
Bonnie Spamer	David Smith
Alain E. Kaloyeras	Michael Thompson
Greg Stevens	Peter A. Blonier
Lorinda Smith	II Hitchcock
Eleanor Gossen	
Randall Craig	
Jeff Berman	
J. RABASCO	
du Faernon	
Rich Felson	
Robert Johnson	
Steve Hanson	
Terry Parker	
JoAnne Carson	



**UNIVERSITY AT ALBANY**  
STATE UNIVERSITY OF NEW YORK

**UNIVERSITY SENATE**  
**Monday, March 1, 1993**  
**3:30 p.m. --Campus Center Assembly Hall**

**AGENDA**

1. Approval of Minutes: December 7, 1992
2. President's Report
3. SUNY-wide Senate Report Vincent Aceto  
Paul Wallace
4. Chair's Report
5. Council Reports
  - a. CPCA Ronald Bosco
  - b. EPC Joan Schulz
  - c. GAC Richard Felson
  - d. UAC Bonnie Spanier
  - e. RES Lorretta Smith
  - f. LISC Mark Steinberger
  - g. CAFE Scott Lyman
  - h. SAC James Kiepper
  - i. UCC Reed Hoyt
6. Old Business
7. New Business
  - a. Senate Resolution No. 9293-05: Revision of Student Senator Elections
8. Adjournment



**UNIVERSITY AT ALBANY**  
STATE UNIVERSITY OF NEW YORK

**UNIVERSITY SENATE MINUTES**

**March 1, 1993**

Present: J. Baskin, J. Berman, P. Bloniarz, R. Bosco, L. Brannon, C. Carr, J. Carson, A. Champagne, L. Childs, R. Craig, G. DeSole, S. Faerman, R. Farrell, R. Felson, F. Frank, R. Gibson, E. Gossen, J. Gullahorn, K. Hitchcock, R. Hoyt, J. Kiepper, J. Levato, S. Lyman, A. Macario, A. Morris, D. Munoz, G. Parker, J. Pipkin, E. Sipay, D. Smith, L. Smith, B. Spanier, M. Steinberger, G. Stevens, D. Strogatz, H. P. Swygert, S. Tannenbaum, F. Thompson, S. Thomson, J. F. Volkwein, G. Walker, J. Wessman

Guests: C. Carlucci, A. Kaloyeros

The meeting was called to order by Chair Brannon at 3:45 p.m.

**1. Approval of Minutes**

The minutes of the December 7, 1992, University Senate meeting were approved as distributed.

**2. President's Report**

President Swygert introduced Vice President Hitchcock who stated that the University at Albany College Bowl Team won the finals of the Upper New York State/Eastern Canadian College Bowl regionals and advanced to the National College Bowl Championships in April.

President Swygert noted that Carl Carlucci has joined the University at Albany as Vice President for Finance and Business. He reported that Vice President Carlucci has sent a memorandum to Deans, Cabinet members and the Senate Executive Committee detailing the challenges and opportunities in the 1993-94 budget, President Swygert reported. The University at Albany has tried to maintain fidelity to the core of the University and has avoided retrenchment of teaching faculty over the past three years, the President said. The Governor's 1993-94 Budget provides a hope of stability. It will enable us to go forward with our planning principles and a modest opportunity to begin a discussion of restoration in some areas. One of the challenges that lies ahead is the quality of life issue, President Swygert said. EPC will be soliciting comments from the faculty on this issue.

The President also reported on the new Presidential Scholars Initiative to attract top students.

The University at Albany Capital Campaign has recognized \$17.3 million as of December 31, 1992, President Swygert reported. This is over half of the first target goal of \$25 million by December 31, 1994. This amount is due in part to our increased alumni support. In addition, Key Bank has contributed \$350,000 to the Capital Campaign for the CESTM building.

President Swygert thanked the Senators for their letters to the Governor and the Legislative leadership concerning the budget. The two most important issues are the need to continue to recruit and retain faculty and the challenges that confront us to maintain class size. He also noted that a Task Force on Recruitment and Retention of minority group students, chaired by Professor Shirley Jones, is in place.

President Swygert reported on a luncheon to continue a dialogue with student leadership on the issue of race relations on campus. We should be proactive and keep ahead of these issues, the President said.

Finally, President Swygert reported that last semester 405 undergraduate students took advantage of the Emergency Loan Program. All of the loans borrowed last semester (\$80,000) have been repaid or arrangement have been made for payment. This semester 471 undergraduate students have applied for the Emergency Loan Program (for a total of \$93,000).

**3. SUNY-wide Senate Report**

Senators Aceto and Wallace were unable to attend the meeting, reported Chair Brannon. Their report has been circulated.

**4. Chair's Report**

The Senate Bills passed at the December 7, 1992, Senate Meeting were approved by the President, reported Chair Brannon.

Chair Brannon noted that Chair-Elect Champagne sent a letter to the faculty requesting their Senate Council preference service. The forms are due in the Senate Office by March 26. She encouraged her colleagues to submit their preference forms.

Dean Kim and Chair Brannon will sponsor an informal meeting on April 13. Letters will be sent concerning this meeting.

**5. Council Reports**

- a. CPCA: Senator Bosco had nothing to report.
- b. EPC: Chair Brannon reported for Senator Schulz who was unable to attend. EPC is working on the capping of majors and teaching standards. President Swygert drew the faculty's attention to the initiative of the teaching standards and, that as Senators, we need to focus on this question.
- c. GAC: Senator Felson had nothing to report.
- d. UAC: Senator Spanier reported that the Council is considering procedures for charges of academic dishonesty, waivers for the General Education program, and a teaching day for next year.

Dean Kim responded to a question on the General Education program. Effective September 1993 the old General Education program will be suspended. A different General Education program cannot be imposed on our continuing students, he said.

Senator Reed expressed his concern that implementation of Senate Bills not be included in the appendix. The implementation must be clear and in place before any old programs are discarded, he said. Dean Kim and Vice President Hitchcock assured Senator Reed that even though this is a tough transition period a fine General Education program will be in place in September 1993.

- e. RES: The Council is continuing its work on the FRAP awards program, reported Senator Smith. The Linkage Committee is continuing its work on the incubator procedures.
  - f. LISC: Senator Steinberger had nothing to report.
  - g. CAFE: Senator Lyman reported on a flyer that was distributed by an off-campus group and the issue was taken to the administration. There is an ethical issue concerning the use of labels which cannot be requested by an off-campus group.
  - h. SAC: Senator Kiepper had nothing to report.
  - i. UCC: Senator Reed reported that a representative from UCC was selected to serve on the Commencement Committee. The Council is working on the definition of a University group. The Council will urge Vice President Carlucci to act on a parking proposal that is in his office, he said.
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6. **Old Business**

There was no Old Business.

7. **New Business**

a. Senate Bill No. 9293-05R: Revision of Student Senator Elections. Chair Brannon noted that this Bill has been moved and seconded by the Executive Committee.

Senator Bosco noted that the Committee on Nominations and Elections (CONE) referred this Bill to the Executive Committee. A proposal was sent to CONE requesting restoration of the language and procedures in student elections. The current student election procedure prohibits some students from participating in Senate activities. The Executive Committee received the proposal from CONE and set up an ad hoc committee to consider it, he said. This Bill is a result of the ad hoc committee. Chair Brannon noted that if approved, the Bill will go to the faculty for approval at the General Faculty Meeting in May 1993.

The Bill was carried with one abstention.

There being no further business, the meeting adjourned at 4:35 p.m.

Respectfully submitted,

A handwritten signature in cursive script that reads "Carson Carr, Jr." with a stylized "me" written below the name.

Carson Carr, Jr.  
Secretary

UNIVERSITY SENATE  
UNIVERSITY AT ALBANY  
STATE UNIVERSITY AT NEW YORK

Introduced by: Senate Executive Committee

Date: February 8, 1993

Revision of Student Senator Elections

IT IS HEREBY PROPOSED THAT THE FOLLOWING REVISION TO THE  
FACULTY BY-LAWS BE ADOPTED:

- I. Section 2, Paragraph 2.6: Fourteen undergraduate student senators shall be nominated and elected through the election mechanism of the Student Association. The one graduate student senator shall be elected annually by the separate schools or colleges on a rotating basis in alphabetical order. No person elected to the Senate from the student body will be permitted to occupy the position of senator while on academic probation.
- II. This Bill will take effect Spring 1994 for the 1994-95 University Senate student elections.
- III. That this Bill be forwarded to the general faculty and President for approval and implementation.

**RATIONALE:**

Currently undergraduate student senators are elected by schools and colleges based on the number of first declared majors as of the end of the drop/add period of the spring semester. Rockefeller College and undeclared majors constitute separate schools. The revision returns to the practice of electing undergraduate student senators by their residence location which allows for more student participation in the University Senate. There is no change in the number of undergraduate student senators. There is no change for the election of the graduate student senator.

## BACKGROUND:

Printed below is the wording from the *1992 Faculty Handbook* (to be deleted) and the previous wording from the *1989-1990 Faculty Handbook* (the proposed revision). It is noted that in 1989-90 the By-Laws amendments reduced the number of elected and ex officio senators by approximately one-third.

### *1992 Faculty Handbook*

#### **Section 2 - Composition of the Senate**

2.6 There shall be 14 undergraduate senators elected annually through the election mechanism of the Student Association. These shall be allocated to the schools and colleges based on the number of first declared majors as of the end of the drop/add period of the spring semester. For purposes of Senate representation, Rockefeller College and undeclared majors shall constitute separate schools; however, each school or college shall have at least one senator. The one graduate student senator shall be elected annually by the separate schools or colleges on a rotating basis in alphabetical order. No person elected to the Senate from the student body will be permitted to serve as a senator while on academic probation.

### *1989-1990 Faculty Handbook*

#### **Section 2 - Composition of the Senate**

2.6 Twenty-one undergraduate senators shall be nominated and elected through the election mechanism of the Student Association. Three graduate student senators shall be chosen in a fashion deemed appropriate by the Senate. No person elected to the Senate from the student body will be permitted to occupy the position of senator while on academic probation.



Sun. Mar. 7, 1993	Mon. Mar. 8, 1993	Tue. Mar. 9, 1993	Wed. Mar. 10, 1993	Thu. Mar. 11, 1993	Fri. Mar. 12, 1993	Sat. Mar. 13, 1993
	2:30p UAC 3:30p University Senate Meeting - Assembly Hall					
Sun. Mar. 14, 1993	Mon. Mar. 15, 1993	Tue. Mar. 16, 1993	Wed. Mar. 17, 1993	Thu. Mar. 18, 1993	Fri. Mar. 19, 1993	Sat. Mar. 20, 1993
	9:30a Council on Research - AD 123 2:45p GAC - AD 123		3:30p EPC Meeting - AD 253			
Sun. Mar. 21, 1993	Mon. Mar. 22, 1993	Tue. Mar. 23, 1993	Wed. Mar. 24, 1993	Thu. Mar. 25, 1993	Fri. Mar. 26, 1993	Sat. Mar. 27, 1993
	2:45p GAC - AD 123 3:30p UCC - AD 267					
Sun. Mar. 28, 1993	Mon. Mar. 29, 1993	Tue. Mar. 30, 1993	Wed. Mar. 31, 1993			
	3:30p Senate Executive Committee - AD 253					

**REPORT OF UNIVERSITY FACULTY SENATE MEETING  
JANUARY 29-30, 1993  
STATE UNIVERSITY OF NEW YORK  
COLLEGE OF ENVIRONMENTAL SCIENCE AND FORESTRY  
SYRACUSE, NEW YORK**

**1. Budget Presentation by Associate Provost Bill Anslow**

Copies of supporting documents are found in Appendix A.

**2. Remarks by Assemblyman Edward Sullivan, Chair Assembly Higher Education Committee**

Assemblyman Sullivan emphasized the following points:

2.1 New York State has made a commitment to support private higher education.

2.2 Reduction in support for private higher education will not increase funding support for public institutions.

2.3 SUNY must go beyond access and excellence to generate pride in SUNY by the citizens of the state. This support will be critical when tough times come again in the future.

2.4 Some of the new forces that impact on higher education are: the non-traditional student, growth in size of student body, growing irreverence to authority by students, communications revolution, international education, future economy of the state, community college dilemma of increasing shift from associate degree to bachelors degree goal by students.

2.5 The governor's budget does not deal with any of these forces.

2.6 During the question period, Assemblyman Sullivan stated he was in favor on no tuition in SUNY and that he was opposed to differential tuition. He also condemned the scandal of student loans that place a heavy debt burden on poorer students for many years of their working lives.

Finally, he strongly urged the faculty to join forces with other constituents as a team to lobby the legislature for more support for SUNY. The faculty should know their local legislators on a first-name basis and visit their offices regularly throughout the year.

**3. Chancellor Johnstone's Remarks on Learning Productivity**

The Chancellor stated the current problems in higher education are caused by: financial strains that lead to a decline in quality and accessibility and the inability to respond to expanding enrollments; financial burdens on students and their families; students from high schools are insufficiently prepared; inadequate teaching/learning in college resulting in redundancy, disruption and wasted time.

He identified the following basic assumptions on learning productivity: there must be productivity advances; significant productivity advances lie not in increased workloads of faculty and staff; significant and sustainable productivity advances must look to the learner; students can learn far more in any time span than they currently do; learning should be individually paced for mastery - the time to mastery should be variable; potential of individual self-paced learning has been greatly enhanced in recent years through technology; excessive curriculum experimentation, program interruption, "drift" in undergraduate experience is costly to institutions, students and their families; advanced professional and graduate education can begin and end at an earlier point for most students... also cost less to both institutions and students; ~~cost to student and family should be viewed as the present value of cost to the final degree, rather than cost per semester or year and reduced through greater learning productivity that shortens time to final degree and entry into the adult work force.~~

The Chancellor identified the following key elements of learning productivity:

- Increased college-level learning during high school years at all ability levels
- Give priority to students' needs for appropriate courses at appropriate times... curriculum, schedules, faculty teaching assignments
- Stipulate "learning outcomes" for mastery of each course
- Maximize individualized self-paced instruction - perhaps with some technology - and move on after mastery to next course
- Implement year-round learning
- Redesign faculty workload expectations and incentives
- Redesign need analysis and financial aid... lessen disincentives to year-round study and heavier learning loads
- Encourage earlier entry into graduate and advanced professional programs
- The Chancellor warned that if we continue to do what we have been doing, it will cost more and we will bear the brunt of this new productivity.

#### 4. Sharing of Concerns of University Centers

At every meeting of the University Faculty Senate, one session is devoted to an informal discussion of issues by senators by campus type. The University Center senators identified the following concerns:

4.1 GRI funding. The governor's budget provides for \$10 million for capital projects. There is no break-down for undergraduate/graduate allocation or mention of source for matching funds. All senators urged that additional money be provided for programs and additional personnel.

4.2 Graduate student unionization. This development is of great concern to faculty. The separation of academic issues and terms and conditions of employment need to be kept separate, though at times the distinctions blur.

4.3 SUTRA proposal. Concern was expressed over the lack of specificity of this proposal to allow campuses to retain tuition for students admitted above enrollment targets. Some of the key questions raised were: Does enrollment include both undergraduate and graduate students, will benchmarks be adjusted to reward and punish the performance of campuses, impact of increased enrollment on quality of students, impact of increased enrollment on campus resources which are already stretched to the limit. In response to this concern, The Chancellor said this program will be small, symbolic, and not include all campuses.

4.4 TAP reductions. The greatest concern of University Center senators was the proposed termination of TAP awards for graduate students in fall 1993. This reduction will have a serious negative impact on minority graduate students. Further, the increased demand for tuition scholarships will affect all graduate students. Finally, concern was expressed that priority will be given to doctoral students resulting in less support for masters students. See Appendix B for copy of TAP data.

4.5 New programs. Some senators reported that it is becoming difficult to initiate new academic programs without support from external funding. This denial of support from the operating budget for new programs will have a chilling effect on faculty creativity in addressing the critical need for curriculum reform and innovation.

4.6 Utility costs. University senators commented on the negative impact of closing campuses over part of the December/January break to save on utility costs. Typically, 2 to 4 weeks of productivity are lost each year on research projects and scholarly activity.

## 5. **Internet and Multimedia Presentations**

Several members of the Operations Committee demonstrated the operation of the Internet and made a presentation on the use of multi-media software programs in instruction.

## 6. **Campus Names**

The omission of any reference to the State University of New York or variations in official names was introduced by the campus at Cortland as a source of confusion within and without SUNY. The Chancellor has developed a policy on this issue and communicated this policy to campus presidents.

## 7. **SCAP**

The Student Computing Access Program provides funds from tuition to support instructional computing for students. A recent study by the Operations Committee revealed that on 50% of the state-operated campuses, there was no involvement of faculty in the allocation of funds for this program. In response to a resolution to the Chancellor on this matter, the Chancellor indicated he informed campus presidents of the concerns of the University Faculty Senate. The Council of Presidents agreed in principle to support faculty involvement in SCAP proposals.

## 8. **Evaluation of Campus Presidents**

The senator from Plattsburgh reported the concern of his faculty with the short time period of 5 weeks to participate in the Presidential Review on his campus. The exclusion of the use of "surveys, questionnaires, or referenda" was also cited as a serious limitation on faculty input. The experiences on other campuses ranged from complete satisfaction with the present process to complete dissatisfaction. Lacking any clear consensus on this issue, the matter was referred to the Governance Committee for further study.

## 9. **Resolutions Passed by University Faculty Senate**

9.1 The Senate recommends to the Chancellor that the President of the University Faculty Senate or designee interact with central administration during the budget preparation process.

9.2 The University Faculty Senate supports the decision of the Chancellor and the Board of Trustees to adopt the recommendations set forth in the Final Report of the university-wide Task Force on Public Safety as amended.

9.3 The University Faculty Senate grant parliamentary privileges (without voting rights) to officially recognized observers.

9.4 The University Faculty Senate endorses the principle that all doctoral students should have preparation and experience in the teaching of their disciplines. To advance this principle, the University Faculty Senate encourages the State University of New York to develop plans for implementing training or preparation for teaching as a requirement for the doctoral degree.

9.5 The University Faculty Senate encourages and supports the development of graduate degree programs, existing or new, that make use of existing facilities by establishment of appropriate cooperative relationships between campuses which grant doctoral degrees and those which do not.

Vincent Aceto

Paul Wallace

University Faculty Senators

# APPENDIX A

## 1993-94 EXECUTIVE BUDGET RECOMMENDATIONS

### CAPITAL BUDGET HIGHLIGHTS

- SUBSTANTIAL IMPROVEMENT IN TAX SUPPORT AND BOND AUTHORIZATION FOR RENEWAL AND REHABILITATION OF BUILDINGS AND INFRASTRUCTURE
  - PCB TRANSFORMER ELIMINATION PROGRAM FUNDED
  - DORMITORY REHABILITATION (SELF SUPPORTING) APPROPRIATION RECOMMENDED
  - RESEARCH EQUIPMENT INITIATIVE (MATCHING PROGRAM) RECOMMENDED
  - PLANNING FUNDS FOR STONY BROOK LIFE SCIENCES AND BINGHAMTON STUDENT ACTIVITY BUILDINGS
  - EQUIPMENT APPROPRIATIONS FOR MAJOR PROJECTS NOW IN CONSTRUCTION
  - DESIGN APPROPRIATION FOR UTICA-ROME STUDENT HOUSING
  - APPROVALS FOR MAJOR COMMUNITY COLLEGE EXPANSIONS AT ADIRONDACK, HUDSON VALLEY, JAMESTOWN, AND JEFFERSON
- 

### NOT APPROVED

- CONSTRUCTION APPROPRIATION FOR:
  - ALBANY - ADDITIONAL FUNDS FOR LIBRARY
  - CANTON - STUDENT ACTIVITY CENTER ADDITION
  - CORNELL ILR - CATHERWOOD LIBRARY ADDITION
  - New Paltz - FIELD HOUSE
  - Oneonta - FIELD HOUSE
  - Stony Brook - STUDENT ACTIVITY CENTER
  - Optometry - ACQUISITION OR CONSTRUCTION
- HOSPITAL REHABILITATION APPROPRIATION
- STATE SUPPORT FOR DORMITORY REHABILITATIONS

**BUDGET OVERVIEW**

**GENERAL FUND  
STATE OPERATIONS**

	1992-93 Adjusted Appropriation	1993-94 Recommendation	Change	
Executive Chamber	\$7,409,500	\$7,409,500		
Lieutenant Governor, Office of	395,500	395,500		
✓ Adirondack Park Agency	2,780,900	3,114,200	+333,300	12.0 ✓
Advocate for the Disabled, Office of	791,300	818,600	+27,300	3.5
Aging, Office for the	2,235,500	2,046,600	-188,900	-8.4
Agriculture and Markets, Department of	20,703,000	21,019,200	+316,200	1.5
Alcoholic Beverage Control, Division of	10,482,400	8,980,900	-1,501,500	-14.3
Arts, Council on the	3,258,500	3,163,900	-94,600	-2.9
Audit and Control, Department of	59,888,600	57,357,200	-2,531,400	-4.2
Budget, Division of the	20,679,925	20,276,325	-403,600	-1.9
Children and Families, Council on	945,700	953,600	+7,900	0.8
Civil Service, Department of	23,836,800	23,724,400	-112,400	-0.5
Consumer Protection Board, State	488,200	440,300	-47,900	-9.8
Correctional Services, Department of	1,181,309,300	1,223,639,500	+42,330,200	3.6
✓ Economic Development, Department of	26,273,100	30,655,200	+4,382,100	16.7 ✓
Education Department	54,009,842	49,440,000	-4,569,842	-8.5
Elections, State Board of	2,168,800	2,085,800	-83,000	-3.8
Employee Relations, Office of	3,812,100	3,781,200	-30,900	-0.8
Environmental Conservation, Department of	71,801,800	64,556,300	-7,245,500	-10.1
Equalization and Assessment, State Board of	21,398,600	20,798,700	-599,900	-2.8
General Services, Office of	92,680,800	96,610,500	+3,929,700	4.2
Health, Department of	142,709,500	140,230,700	-2,478,800	-1.7
✓ Higher Education Services Corporation, New York State	9,415,633	10,023,380	+607,747	6.5 ✓
Housing and Community Renewal, Division of	42,831,500	34,463,400	-8,418,100	-19.6
✓ Mortgage Agency, State of New York	20,300,000	25,800,000	+5,500,000	27.1 ✓
Human Rights, Division of	7,611,100	8,001,500	+390,400	5.1
Labor, Department of	11,079,500	11,611,100	+532,600	4.8
Law, Department of	59,702,100	57,720,200	-1,981,900	-3.3
Mental Health, Office of	655,871,500	522,392,000	-133,479,500	-20.4
Mental Retardation and Developmental Disabilities, Office of	105,593,500	74,536,000	-31,057,500	-29.4
Alcoholism and Substance Abuse Services, Office of	44,446,400	42,091,600	-2,354,800	-5.3
Quality of Care for the Mentally Disabled, Commission on	3,913,300	3,720,500	-192,800	-4.9
Military and Naval Affairs, Division of	16,055,600	14,754,600	-1,301,000	-8.1
Motor Vehicles, Department of	101,761,700	100,522,100	-1,239,600	-1.2
Governor's Traffic Safety Committee	383,100	397,000	+13,900	3.6
Parks, Recreation and Historic Preservation, Office of	88,935,400	96,112,000	+7,176,600	8.1 ✓
✓ Parole, Division of	106,214,700	106,833,200	+618,500	0.6
Public Employment Relations Board	3,190,000	3,075,500	-114,500	-3.6
Racing and Wagering Board, State	12,846,600	11,984,400	-862,200	-6.7
Science and Technology Foundation, New York State	1,670,600	1,698,300	+27,700	1.7
Social Services, Department of	116,385,600	110,941,900	-5,443,700	-4.7
State, Department of	18,964,100	18,039,600	-924,500	-4.9
State Police, Division of	192,516,600	188,183,600	-4,333,000	-2.3
✓ State University of New York	933,304,150	983,491,200	+50,187,050	5.4 ✓
Taxation and Finance, Department of	252,212,600	258,949,600	+6,737,000	2.7
Tax Appeals, Division of	2,822,500	2,707,100	-115,400	-4.1
Transportation, Department of	303,079,200	280,833,000	-22,246,200	-7.3
Urban Development Corporation	6,119,300	5,988,500	-130,800	-2.1
Harlem Urban Development Corporation	2,925,000	2,993,000	+68,000	2.3
Veterans' Affairs, Division of	4,217,600	4,379,800	+162,200	3.8
Youth, Division for	121,619,800	126,918,000	+5,298,200	4.4
Subtotal: Major Agencies	\$4,996,097,350	\$4,890,660,205	-\$105,437,145	-2.1

Post-It™ brand fax transmittal memo 7671 # of pages 1

To	Mr. Chen	From	Jim Ruhl
Co		Co.	
Dept		Phone #	443-5148
Fax #		Fax #	

# APPENDIX B

## Governor's 1993 Budget Proposal Student Financial Aid Programs

### 1. Graduate TAP Phase Out.

No awards will be made to students who would otherwise have been first-time graduate TAP recipients in 1993-94. Current State-wide TAP expenditures for graduate students are about \$11 million (12,000 FTE recipients). This phase out is expected to save about \$5 million, half of that amount would come from SUNY (about 4,600 total FTE recipients), mostly at the University Centers.

Graduate tuition waivers and minority fellowships would be affected because TAP offsets part of the cost of these programs.

### 2. Undergraduate TAP Phase Down.

First time undergraduate TAP recipients in 1993-94 who are dependent (or independent with dependents of their own) would be eligible for lower TAP awards than 1992-93 first-time TAP recipients. The maximum award would be reduced by \$200 from \$3,650 to \$3,450. The maximum award at SUNY, however, would remain at the same level as tuition, \$2,650. The minimum award would remain at \$100 but at SUNY would begin at an income of about \$27,500 of New York net taxable income (NTI) instead of the current \$30,000 NTI.

TAP recipients from families with NTI incomes between \$7,500 and \$27,500 will experience award reductions ranging from \$0 to about \$600.

<u>NTI Income</u>	<u>Loss of TAP</u>
\$0 - 7,500	\$0
7,501 - 11,000	0 to 105
11,001 - 18,000	105 to 315
18,001 - 42,500	315 to 600
Over 42,500	No Award

### 3. Summary of TAP Cuts

<u>State-wide Total</u>	<u>\$30M</u>
<u>Audits, Accounting Changes</u>	<u>5M</u>
<u>Reduced Awards</u>	<u>25M</u>
Reduced Graduate Awards	5M
Reduced Undergraduate Awards	20M

4. Recommended Appropriations: Student Financial Aid

	1992-93 Adjusted Approp.	1993-94 Recommended Approp.	Change
<u>SUNY</u>			
SUSTA	1,531,200	1,546,500	15,300
FCWSP Matching	2,501,600	2,526,600	25,000
FSEOG Matching	489,000	493,900	4,900
Minority Fellowships	6,259,500	6,322,100	62,600
Emp. St. Honors Scholarships	600,000	606,000	6,000
<u>Other Programs</u>			
TAP	614,400,000	584,280,000	-30,120,000
Aid for Part-Time Study	11,130,000	11,130,000	0
Empire State Public Employees Scholarship	0	120,000	120,000

State University of New York  
Central Administration  
Office of Financial Aid Services  
January 21, 1993



STATE UNIVERSITY OF NEW YORK  
Tuition Assistance Program Graduate Awards  
1992-93

	Recipients	Awards
<b>SUNY Total</b>	<b>6,308</b>	<b>\$4,745,160.56</b>
<b>University Centers</b>	<b>4,165</b>	<b>3,124,129.15</b>
Albany	769	516,644.25
Binghamton	497	359,755.00
Buffalo	1,315	965,596.35
Law	316	263,551.75
Medicine	364	336,135.55
Stony Brook	632	453,226.25
Medicine	272	229,220.00
<b>University Colleges</b>	<b>1,237</b>	<b>834,082.25</b>
Brockport	192	149,426.25
Buffalo	241	138,162.75
Cortland	111	59,918.75
Empire State	46	34,495.00
Fredonia	72	47,171.75
Geneseo	59	36,304.75
New Paltz	121	95,205.75
Old Westbury	0	0.00
Oneonta	63	35,079.75
Oswego	98	56,985.00
Plattsburgh	119	79,183.50
Potsdam	115	102,149.00
Purchase	0	0.00
<b>Health Science Centers</b>	<b>679</b>	<b>601,227.00</b>
Brooklyn	370	332,734.25
Syracuse	309	268,492.75
<b>Specialized Colleges</b>	<b>227</b>	<b>185,722.16</b>
E S F	88	57,239.50
Maritime	5	3,032.50
Optometry	112	105,704.16
Institute of Tech	22	19,746.00

Source: HESC Cumulative listing  
of student data, list #8, 12/22/92.

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State University of New York  
Central Administration Office of  
Financial Aid Services  
January 19, 1993

**1993-94 Executive Budget Recommendations  
Report to the Board of Trustees  
Budget and Finance Committee**

January 28, 1993

## State-wide Overview

- New York State's 1993-94 General Fund budget recommendation is \$31.5 billion
  - \$3.5 billion potential gap is closed by:
    - \$1.4 billion income (postpone tax cuts, other actions)
    - \$1.6 billion budget reductions
    - \$ .5 million in dedicated transportation funds
- Impact on Other Agencies
  - State Agency cuts (after salary increases and inflation) are in the 4% - 5% range with some cut 10%.
  - Education
    - School aid cut \$130 million (school year basis)
  - Higher Education
    - Liberty Partnerships eliminated (\$7.5 million)
    - TAP program
      - assumes \$107 million deficiency for 92-93
      - phase out of TAP for graduate students
  - CUNY
    - Senior colleges assigned \$4.0 million lump sum reduction
    - \$1.0 million Workforce Development Initiative

## State-Operated and Statutory Colleges

### Executive Recommendations

- Recommends maintaining existing enrollment levels
- Sets overall 1993-94 University Operations Budget at \$1,431.8 million or \$50.5 million more than the adjusted 1992-93 level.  
(Recommends a \$50.4 million (6.1%) net increase in state tax support)

#### Significant changes:

- Provides \$5.2 million base adjustment for tuition reimbursement costs and \$8.7 million for salary requirements in 1992-93
  - Funds \$50.7 million for salary and wage increases in 1993-94
  - Funds \$10.2 million for inflation including \$5.8 million for utilities
  - Provides \$3.0 million for new buildings
  - Lump sum reduction of \$11.8 million
  - Cuts Legislative programs added previous year (\$2 million)
- Recommends \$560 million in University income funds
    - Same level as 1992-93
    - Anticipates +\$13 million in Hospital revenues

# State Operated and Statutory Colleges

## 1993-94 Executive Budget Recommendations

(Millions of Dollars)

	Executive Base 1992-93	% Distrib.	Executive Recommended 1993-94	% Distrib.	Recommended vs Base	
					Change	% Change
<b>University Operating Budget</b>	<b>\$1,381.2</b>	<b>100%</b>	<b>\$1,431.8</b>	<b>100%</b>	<b>\$50.6</b>	<b>3.7%</b>
General Fund Support	821.1	59.4%	871.6	60.9%	50.5	6.2%
Income Fund Support	560.1	40.6%	560.2	39.1%	0.1	-

# University Operating Budget

## SUNY Income Offset Appropriation

(Millions of Dollars)

	1992-93 Targets	1993-94 Executive Recommended	Change
<b>Recurring:</b>			
Tuition	462.1	464.6	2.5
Fees, Clinics, Misc.	6.9	7.0	0.1
Interest	6.0	3.0	(3.0)
Sub-Total	<u>\$475.0</u>	<u>\$474.6</u>	<u>(\$0.4)</u>
<b>Recoveries:</b>			
Fringe Benefits	34.0	38.0	4.0
All Other	11.2	14.6	3.4
Sub-Total	<u>\$45.2</u>	<u>\$52.6</u>	<u>\$7.4</u>
<b>Hospital Recoveries:</b>			
Debt Services	13.2	12.5	(0.7)
Fringe Benefits	6.8	20.5	13.7
Sub-Total	<u>\$20.0</u>	<u>\$33.0</u>	<u>\$13.0</u>
<b>Non-Recurring/Unavailable</b>			
Use of Fund Balances	6.3	—	(6.3)
Federal Interest Subsidy	—	—	—
Clinical Practice Loan Repayment	—	—	—
Administrative Action	13.6	—	(13.6)
Retention of Summer Session IFR Income	—	—	—
Sub-Total	<u>\$19.9</u>	<u>—</u>	<u>(\$19.9)</u>
<b>Total Revenue To Offset</b>	<b>\$560.1</b>	<b>\$560.2</b>	<b>\$0.1</b>

## **State-Operated and Statutory Colleges Management - Flexibility**

- Executive Budget recommends added flexibility to manage required expenditure reductions while maintaining educational quality:
  - Permanent legislative authorization for SUNY to establish position levels
  - Elimination of appropriation interchange limits
  - Creation of State University Reimbursable Account, allowing retention of tuition revenue and expansion of enrollment above budgeted levels
  - Permanent change in SUNY's fiscal year (and several technical changes)
- New York State Comptroller's office has agreed to enhanced campus management
  - Purchasing authorization (without pre-approval) on goods and materials increased from \$20,000 to \$50,000
  - Payment of vouchers up to \$1,000 using electronic transfer of data (versus paper vouchers). 85% of vouchers will be handled electronically representing 12% of dollar value.

## **State Operated and Statutory Colleges**

### **Key Issues of Executive Recommendations**

- **State Aid to Community Colleges**
- **Salary and Wage Requirements**
- **Inflationary Costs**
- **Enrollment**
- **Revenue Requirements**
- **Residence Hall Operations**
- **Hospital Operations**
- **Assignment of the negative lump sum: -\$11.8 million**
- **Recovery/Forward Progress**